

21<sup>st</sup> February, 2024

To,

**MR VISHWARAJ ACHARYA / SATISH BHUVAD**

Axis Bank Limited

Asst. Manager, Treasury Operation Axis House,

Wadia International Center,

I P.B. Marg, Worli, Mumbai – 400 025

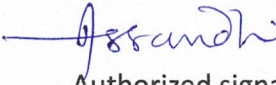
**Sub: - Review of Empanelment**

Dear Sir,

With reference to your email dated 20<sup>th</sup> February, 2024, enclosed herewith please find attached supporting documents as required by you for Review of Empanelment.

Thanking You.

For Arihant Capital Markets Ltd,



Authorized signatory



Enclosers: -

1. Audited Balance Sheet for the last 3 years including previous year
2. Turnover Certificate as issued by BSE and NSE for the last 3 years including previous year
3. Capital/Networth Certificate for the last 3 years including previous year
4. SEBI Registration Certificate
5. GST Registration Certificate
6. NSE Registration Certificate
7. BSE Registration Certificate
8. Listing of clients where we are currently empanelled.
9. Details of professional staff at our end along with details of qualification.
10. KYC documents as per constitution of the broker firm (MOA/AOA/PAN/TELEPHONE BILL).
11. List of broker-related entities.
12. Status Report of NSE and BSE for previous 3 years.
13. Copy of Extract of latest Board Resolution.
14. List of authorized Signatory along-with specimen signature
15. Declaration

**ARIHANT CAPITAL MARKETS LTD.**

Member of NSE, BSE, MCX, NCDEX, NSDL & CDSL

Reg. Office : 6 Lad Colony, Y.N. Road, Indore-452 003 T: +91-731-4217100, +91-731-2581100

Corp. Office : #1011 Solitaire Corporate Park, Bldg No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E) Mumbai-400093

T: +91-22-67114800, +91-22-42254800

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

Date -21<sup>st</sup> February, 2024

To,  
**Axis Bank Ltd**  
Level 4-South, Axis House,  
Bombay Dyeing Mills Compound  
Pandurang Budhakar Marg,  
Worli ,Mumbai  
400025

Sub: - Review/ Fresh Broker Empanelment

Dear Sir/Madam,

Please find enclosed, the list of documents as per below to carry out "Review / Empanelment of Brokers" at your end:

1. Audited Balance Sheet for the last 3 years including previous year
2. Turnover Certificate as issued by BSE and NSE for the last 3 years including previous year
3. Capital/Networth Certificate for the last 3 years including previous year
4. SEBI Registration Certificate
5. GST Registration Certificate
6. NSE Registration Certificate
7. BSE Registration Certificate
8. Listing of clients where we are currently empanelled.
9. Details of professional staff at our end along with details of qualification.
10. KYC documents as per constitution of the broker firm (MOA/AOA/PAN/TELEPHONE BILL).
11. List of broker-related entities.
12. Status Report of NSE and BSE for previous 3 years.
13. Copy of Extract of latest Board Resolution.
14. List of authorized Signatory along-with specimen signature

We further confirm that:

\*Our broking Firm has professionals amongst our staff and have no conflicts of interest with bank's dealers, disclose if any,.

\*Our broking Firm is listed with key participants in the market including banks, Financial Institutions and mutual Funds.

\*Our broking has adequate expertise.

\* There is no any disciplinary action taken by SEBI for the past 3 years (if any, please provide the details and the letter)

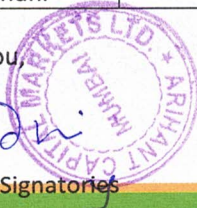
\*Our broking Firm adheres to code of conduct of regulators/agencies (e.g. SEBI, etc. as applicable).

For Broker Empanelment below are the concern persons for any queries and data submissions

Contact Person	e-mail Address	Tel No	Mobile No
Anita Gandhi	<a href="mailto:anita.gandhi@arihantcapital.com">anita.gandhi@arihantcapital.com</a>	0224254802	9892336365

Thanking you,

  
Authorized Signatories



**ARIHANT CAPITAL MARKETS LTD.**

Member of NSE, BSE, MCX, NCDEX, NSDL & CDSL

Reg. Office : 6 Lad Colony, Y.N. Road, Indore-452 003 T: +91-731-4217100, +91-731-2581100

Corp. Office : #1011 Solitaire Corporate Park, Bldg No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E) Mumbai-400093

T: +91-22-67114800, +91-22-42254800

CIN: L67120MP1992PLC007182

W: [www.arihantcapital.com](http://www.arihantcapital.com) E: [contactus@arihantcapital.com](mailto:contactus@arihantcapital.com)

Amount in Cr.

2022-23		2021-22		2020-21		2022-23	2021-22	2020-21	2021-22	2020-21
Turnover Certificate - 2017-18		Turnover Certificate - 2017-18		Turnover Certificate - 2016-17						
NSE	BSE	NSE	BSE	NSE	BSE		Capital		Network	
58,927.42	3772.13	72,889.61	5170.89	55,850.44	3940.61	10.41	10.41	10.41	228.16	181.44

No. of Years of Experience	Address	PAN NO	SEBI Reg No	GST Reg No.	NSE Reg No	BSE Reg No	Contact Name	Contact No	Email id
29 years	Rgt'd Office - 6, Lad Colony, Y.N. Road, Indore - 452001	AABCA6832G	INZ000180939	23AABCA6832GIZI	INZ000180939	INZ000180939	Mrs. Anita Surendra Gandhi	022-42254802	<a href="mailto:anita.gandhi@arihantcapital.com">anita.gandhi@arihantcapital.com</a>
	Correspondence Address - #1011 Solitaire Corporate Park Bldg no - 10, 1st Floor, Chakala, Andheri (East), Mumbai - 400093								

Additional Details:



Date: 16-05-2023  
MOD/TC/5293-2023

Designated Director  
ARIHANT CAPITAL MARKETS LTD. ( Clg.No. 313 )  
6, LAD COLONY,  
Y.N.ROAD,

INDORE, MADHYA PRADESH  
PINCODE: 452003

Dear Sir/ Madam,

**Subject: Turnover Certificate (Cash)**

Please refer to your letter/ email dated 16-05-2023 requesting the Exchange to issue Turnover Certificate for the Cash Segment for the Period from 01-04-2022 To 31-03-2023

In this connection, we certify that as per Exchange records the total value of transactions done by the trading member during the aforesaid period was Rs.3772.13 Crores.(Rupees Three Thousand Seven Hundred And Seventy Two Crores And Thirteen Lakhs Only )

Yours faithfully,  
For BSE Limited

SAVITA  
RUSHIKES  
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DESHMUJH  
Digitally signed by: SAVITA  
RUSHIKESH DESHMUKH  
DN: cn = SAVITA RUSHIKESH  
DESHMUJH C = IN o =  
Personal  
Date: 2023.05.17 17:28:29 +  
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Authorised Signatory  
Membership Operations



Date: 28-04-2022  
MOD/TC/3750-2022

Designated Director  
ARIHANT CAPITAL MARKETS LTD. ( Clg.No. 313 )  
6, LAD COLONY,  
Y.N.ROAD,  
INDORE, MADHYA PRADESH  
PINCODE: 452003

Dear Sir/ Madam,

**Subject: Turnover Certificate (Cash)**

Please refer to your letter/ email dated 28-04-2022 requesting the Exchange to issue Turnover Certificate for the Cash Segment for the Period from 01-04-2021 To 31-03-2022

In this connection, we certify that as per Exchange records the total value of transactions done by the trading member during the aforesaid period was Rs.5170.89 Crores.(Rupees Five Thousand One Hundred And Seventy Crores And Eighty Nine Lakhs Only )

Yours faithfully,  
For BSE Limited

SAVITA  
RUSHIKESH  
H  
DESHMUKH

Digitally signed by: SAVITA  
RUSHIKESH DESHMUKH  
DN: cn = SAVITA  
RUSHIKESH DESHMUKH  
c = IN o = BSE LIMITED  
ou = OPERATION  
Date: 2022.04.28 11:41:31  
+05'30'

Authorised Signatory  
Membership Operations



Date : 09/04/2021  
MOD/TC/2391-2021

Designated Director  
ARIHANT CAPITAL MARKETS LTD. ( Ctg.No. 313 )  
6, LAD COLONY,  
Y.N.ROAD,  
INDORE 452003  
MADHYA PRADESH

Dear Sir/ Madam,

**Subject : Turnover Certificate (Cash)**

Please refer to your letter/ email dated 09-04-2021 requesting the Exchange to issue Turnover Certificate for the Cash Segment for the Period from 01-04-2020 To 31-03-2021

In this connection, we certify that as per Exchange records the total value of transactions done by the trading member during the aforesaid period was Rs. 3940.61 crores. ( Rupees Three Thousand Nine Hundred Forty Crores and Sixty One Lakhs Only )

Yours faithfully,  
For BSE Limited

JITENDRA Digitally signed by  
MANILAL MANILAL DOSHI  
DOSHI Date: 2021.04.12  
11:54:02 +05'30'

Authorised Signatory  
Membership Operations



Ref.No.NSE/F&amp;A/07839/TO-TM/881/Apr 23

**NATIONAL STOCK EXCHANGE OF INDIA LIMITED**  
**TURNOVER REPORT**  
TO WHOMSOEVER IT MAY CONCERN

MEMBER NAME: ARIHANT CAPITAL MARKETS LIMITED  
 MEMBER CODE: 07839  
 REPORT PERIOD: 01-APR-2022 to 31-MAR-2023

This is to confirm that the turnover of ARIHANT CAPITAL MARKETS LIMITED (07839) for the period 01-APR-2022 to 31-MAR-2023 as per records of the Exchange is as follows:

**CAPITAL MARKET SEGMENT in (Rs)**

FINANCIAL YEAR	BUY TURNOVER	VALUE OF SHARES RECEIVABLE	SELL TURNOVER	VALUE OF SHARES DELIVERABLE	TOTAL TURNOVER	TOTAL DELIVERY VALUE*
2022-23	300808245366.44	0.00	288465985499.72	15271020.00	589274230866.16	15271020.00

\* Delivery Turnover pertains to settlements actually completed (not scheduled) during the reference period. Value has been arrived at by multiplying the quantity of the respective security by the closing price on the last day of the respective settlement.

**FUTURES & OPTIONS SEGMENT in (Rs)**

FINANCIAL YEAR	FUTURES TURNOVER*	FUTURES FINAL SETTLEMENT VALUE**	OPTION TURNOVER***	EXERCISED STRIKE VALUE #	ASSIGNED STRIKE VALUE ##	TOTAL TURNOVER
2022-23	670411093654.85	0.00	61870709558.50	0.00	0.00	732281803213.35

\* Futures Turnover = Trade Quantity \* Trade Price

\*\* Futures Final Settlement = Futures Final Long Settlement Value + Futures Final Short Settlement Value

\*\*\* Option Turnover = Trade Quantity \* Trade Price

# Exercised Strike Value = Exercised Quantity \* Strike Price

## Assigned Strike Value = Assigned Quantity \* Strike Price

**CURRENCY DERIVATIVES SEGMENT (CDS) in (Rs)**

FINANCIAL YEAR	CDS TURNOVER*	CDS FINAL SETTLEMENT VALUE**	CDS OPTION TURNOVER VALUE	CDS EXERCISED STRIKE VALUE	CDS ASSIGNED STRIKE VALUE	TOTAL TURNOVER
2022-23	144883800559.57	0.00	60647932.50	0.00	0.00	144944448492.07





National Stock Exchange Of India Limited

Ref.No.NSE/F&A/07839/TO-TM/881/Apr 22

NATIONAL STOCK EXCHANGE OF INDIA LIMITED  
**TURNOVER REPORT**  
TO WHOMSOEVER IT MAY CONCERN

MEMBER NAME: ARIHANT CAPITAL MARKETS LIMITED  
MEMBER CODE: 07839  
REPORT PERIOD: 01-APR-2021 to 31-MAR-2022

This is to confirm that the turnover of ARIHANT CAPITAL MARKETS LIMITED (07839) for the period 01-APR-2021 to 31-MAR-2022 as per records of the Exchange is as follows:

CAPITAL MARKET SEGMENT in (Rs)

FINANCIAL YEAR	BUY TURNOVER	VALUE OF SHARES RECEIVABLE	SELL TURNOVER	VALUE OF SHARES DELIVERABLE	TOTAL TURNOVER	TOTAL DELIVERY VALUE*
2021-22	367505474050.70	71388727621.71	361390631252.89	68860884474.17	728896105303.59	140249612095.88

\* Delivery Turnover pertains to settlements actually completed (not scheduled) during the reference period. Value has been arrived at by multiplying the quantity of the respective security by the closing price on the last day of the respective settlement.

FUTURES & OPTIONS SEGMENT in (Rs)

FINANCIAL YEAR	FUTURES TURNOVER*	FUTURES FINAL SETTLEMENT VALUE**	OPTION TURNOVER***	EXERCISED STRIKE VALUE #	ASSIGNED STRIKE VALUE ##	TOTAL TURNOVER
2021-22	654067712971.80	1047983995.55	44145899202.15	2778133500.00	5719249362.50	707758979032.00

\* Futures Turnover = Trade Quantity \* Trade Price

\*\* Futures Final Settlement = Futures Final Long Settlement Value + Futures Final Short Settlement Value

\*\*\* Option Turnover = Trade Quantity \* Trade Price

# Exercised Strike Value = Exercised Quantity \* Strike Price

## Assigned Strike Value = Assigned Quantity \* Strike Price

CURRENCY DERIVATIVES SEGMENT (CDS) in (Rs)

FINANCIAL YEAR	CDS TURNOVER*	CDS FINAL SETTLEMENT VALUE**	CDS OPTION TURNOVER VALUE	CDS EXERCISED STRIKE VALUE	CDS ASSIGNED STRIKE VALUE	TOTAL TURNOVER
2021-22	132546399198.50	337205092.80	25564745.00	13370250.00	65500.00	132922604786.30



Ref.No.NSE/F&amp;A/07839/TO-TM/881/Apr 21

**NATIONAL STOCK EXCHANGE OF INDIA LIMITED**  
**TURNOVER REPORT**  
**TO WHOMSOEVER IT MAY CONCERN**

MEMBER NAME: ARIHANT CAPITAL MARKETS LIMITED  
 MEMBER CODE: 07839  
 REPORT PERIOD: 01-APR-2020 to 31-MAR-2021

This is to confirm that the turnover of ARIHANT CAPITAL MARKETS LIMITED (07839) for the period 01-APR-2020 to 31-MAR-2021 as per records of the Exchange is as follows:

**CAPITAL MARKET SEGMENT in (Rs)**

FINANCIAL YEAR	BUY TURNOVER	VALUE OF SHARES RECEIVABLE	SELL TURNOVER	VALUE OF SHARES DELIVERABLE	TOTAL TURNOVER	TOTAL DELIVERY VALUE*
2020-21	280147957802.28	50633472430.81	278356541554.37	46627128047.41	558504499356.65	97260600478.22

\* Delivery Turnover pertains to settlements actually completed (not scheduled) during the reference period. Value has been arrived at by multiplying the quantity of the respective security by the closing price on the last day of the respective settlement.

**FUTURES & OPTIONS SEGMENT in (Rs)**

FINANCIAL YEAR	FUTURES TURNOVER*	FUTURES FINAL SETTLEMENT VALUE**	OPTION TURNOVER***	EXERCISED STRIKE VALUE #	ASSIGNED STRIKE VALUE ##	TOTAL TURNOVER
2020-21	723921469036.80	1028824916.00	37799983167.75	2942078150.00	3361905274.00	769054260544.55

\* Futures Turnover = Trade Quantity \* Trade Price

\*\* Futures Final Settlement = Futures Final Long Settlement Value + Futures Final Short Settlement Value

\*\*\* Option Turnover = Trade Quantity \* Trade Price

# Exercised Strike Value = Exercised Quantity \* Strike Price

## Assigned Strike Value = Assigned Quantity \* Strike Price

**CURRENCY DERIVATIVES SEGMENT (CDS) in (Rs)**

FINANCIAL YEAR	CDS TURNOVER*	CDS FINAL SETTLEMENT VALUE**	CDS OPTION TURNOVER VALUE	CDS EXERCISED STRIKE VALUE	CDS ASSIGNED STRIKE VALUE	TOTAL TURNOVER
2020-21	167065860120.45	1562457880.48	43679415.00	59175500.00	91400500.00	168822573415.93





**ACNJ & CO.**  
Chartered Accountants

**TO WHOM SO EVER IT MAY CONCERN**

This is to certify that **Audited Consolidated Net worth** of **M/s. Arihant Capital Markets Limited**, having its registered office at 6, Lad Colony, Y N Road, Indore - 452001 as on 31<sup>st</sup> March, 2023 is Rs. 254,12,58,259/- Lakhs (Rupees Two Fifty-four Crores Twelve Lacs fifty eight Thousand Two Hundred Fifty Nine only), as detailed hereunder:

S. No.	Particulars	Amount (Rs.)	
1	Paid up Capital	10,41,12,800	
2.	Free Reserves	246,15,72,711	
	<b>Total</b>		256,56,85,511
	Less: Misc. Exp./Intangible Assets		2,44,27,252
	<b>Net Worth</b>		<b>254,12,58,259</b>

As per information and explanation received and records produced before us, we hereby certify that information given above is true and correct.

For ACNJ & Co.,  
Chartered Accountants  
Firm Registration No. 016804C

Date: 11/01/2024  
Place: Indore

**BIKASH  
BAID**

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BIKASH BAID  
Date: 2024.01.11  
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Bikash Baid  
Chartered Accountant  
Membership Number: 402157  
UDIN: 24402157BKFCWN3610



Office: 211, Apollo Premier, Vijaynagar Square, Indore – 452001 (MP), 0731-4006203



**ACNJ & CO.**  
Chartered Accountants

**TO WHOM SO EVER IT MAY CONCERN**

This is to certify that **Audited Consolidated Net worth** of **M/s. Arihant Capital Markets Limited**, having its registered office at 6, Lad Colony, Y N Road, Indore - 452001 as on 31<sup>st</sup> March, 2022 is Rs. 22816.70 /- Lakhs (Rupees Two Twenty-Eight Crores Sixteen Lacs Seventy Thousand only), as detailed hereunder:

S. No.	Particulars	Amount (Rs. In Lacs)	
1	Paid up Capital	1041.13	
2.	Free Reserves	21847.50	
	<b>Total</b>		<b>22888.63</b>
	Less: Misc. Exp./Intangible Assets		71.93
	<b>Net Worth</b>		<b>22816.70</b>

As per information and explanation received and records produced before us, we hereby certify that information given above is true and correct.

For ACNJ & Co.,  
Chartered Accountants  
Firm Registration No. 016804C

Date: 04/05/2022  
Place: Indore

**BIKASH BAID**  
Digitally signed by BIKASH  
BAID  
Date: 2022.05.04 15:30:50  
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Bikash Baid  
Chartered Accountant  
Membership Number: 402157  
UDIN: 22402157AIJWOU1948

Office: 211, Apollo Premier, Vijaynagar Square, Indore – 452001 (MP), 0731-4006203





**ACNJ & CO.**  
Chartered Accountants

**TO WHOM SO EVER IT MAY CONCERN**

This is to certify that **Consolidated Net worth** of **M/s. Arihant Capital Markets Limited**, having its registered office at 6, Lad Colony, Y N Road, Indore -452001 as on 31<sup>st</sup> March, 2021 is Rs. 18144.22 /- Lakhs (Rupees One Eighty One Crores Forty Four Lacs Twenty Two Thousand only), as detailed hereunder:

S. No.	Particulars	Amount (Rs. in Lacs)	
1	Paid up Capital	1,041.13	
2.	Free Reserves	17,170.30	
	<b>Total</b>		18,211.43
	Less: Misc. Exp./Intangible Assets		67.21
	<b>Net Worth</b>		<b>18,144.22</b>

As per information and explanation received and records produced before us, we hereby certify that information given above is true and correct.

For ACNJ & Co.,  
Chartered Accountants  
Firm Registration No. 016804C

**Aditya  
Chokhra**

Digitally signed by  
Aditya Chokhra  
Date: 2021.06.15  
14:48:30 +05'30'

Aditya Chokhra  
Chartered Accountant  
Membership Number: 405034  
UDIN: 21405034AAAACB6385

Date: 15/06/2021  
Place: Indore

Office: 203, NRK Biz Park, PU 4, Vijaynagar, Indore – 452001 (MP), 0731-4006203



प्ररूप घ  
FORM D

भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टाक दलाल और उप-दलाल) विनियम, 1992  
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

00008 29

(विनियम 6 तथा 10ख / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र  
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

ARIHANT CAPITAL MARKETS LIMITED  
Trade Name: ARIHANT CAPITAL MARKETS LIMITED  
E-5 RATLAM KOTHI AREA INDORE  
MADHYA PRADESH  
452001

को प्रतिभूतियों में क्रय, विक्रय या ब्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अध्वधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s)/ clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आवंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under: INZ000180939

यह प्रमाणपत्र तब तक विधिमान्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



तारीख / Date : May 28, 2018

आदेश द्वारा / By order  
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और की ओर से  
For and on behalf of Securities and Exchange Board of India

*Anupma Chadha*

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory



*Ar*



Government of India  
Form GST REG-06  
[See Rule 10(1)]

Registration Certificate

Registration Number : 23AABCA6832G1Z1

1.	Legal Name	ARIHANT CAPITAL MARKETS LIMITED			
2.	Trade Name, if any	ARIHANT CAPITAL MARKETS LTD.			
3.	Constitution of Business	Public Limited Company			
4.	Address of Principal Place of Business	E/5, Arihant Cpaital Markets Ltd., Ratlam Kothi, Indore, Indore, Madhya Pradesh, 452001			
5.	Date of Liability	01/07/2017			
6.	Period of Validity	From	01/07/2017	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				
Signature		Validity unknown Digitally signed by DS GOODS AND SERVICES TAX NETWORK 1 Date: 2018.07.18 04:38:06 IST			
Name					
Designation					
Jurisdictional Office					
9.	Date of issue of Certificate	18/07/2018			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of application on 01/07/2017 .





GSTIN 23AABCA6832G1Z1  
Legal Name ARIHANT CAPITAL MARKETS LIMITED  
Trade Name, if any ARIHANT CAPITAL MARKETS LTD.

**Details of Additional Places of Business**

Total Number of Additional Places of Business in the State 5

Sr. No.	Address
1	105-106, Bakhatgarh Tower, 10/1, New Palasia, Indore, Madhya Pradesh, 452001
2	Ist floor, Rituraj Lodge, Dewas Gate, Ujjain, Ujjain, Madhya Pradesh, 456001
3	6, Y.N. Road, Lad colony, Indore, Madhya Pradesh, 452001
4	301, Silver Sanchora, R.N.T. Marg, Indore, Indore, Madhya Pradesh, 452001
5	Plot No 13A, 6th Floor, Atlantis Tower, Scheme No 78 Part II, Indore, Indore, Madhya Pradesh, 452001





GSTIN 23AABCA6832G1Z1  
Legal Name ARIHANT CAPITAL MARKETS LIMITED  
Trade Name, if any ARIHANT CAPITAL MARKETS LTD.

**Details of Managing / Whole-time Directors and Key Managerial Persons**

1		Name	Ashok Kumar Jain
		Designation/Status	Director
		Resident of State	Madhya Pradesh
2		Name	Anita Surendra Gandhi
		Designation/Status	Director
		Resident of State	Maharashtra
3		Name	Sunil Kumar Jain
		Designation/Status	Director
		Resident of State	Madhya Pradesh
4		Name	Akhilesh Rathi
		Designation/Status	Director
		Resident of State	Madhya Pradesh
5		Name	Parag Rameshbhai Shah
		Designation/Status	Director
		Resident of State	Gujarat
6		Name	Pavan Kumar Ved
		Designation/Status	Director
		Resident of State	Madhya Pradesh
7		Name	Shailesh Kumath
		Designation/Status	Director
		Resident of State	Madhya Pradesh





**MEMBERSHIP CERTIFICATE**

**As on 07/04/2023**

This is to certify that ARIHANT CAPITAL MARKETS LIMITED - Member Code-07839 is a Trading Member in the following segment(s) of National Stock Exchange of India Limited.

**SEBI Registration No. - INZ000180939**

S.No.	Segment(s)	Initial Enablement Date
1.	Capital Markets	30/09/1995
2.	Futures & Options	06/07/2001
3.	Currency Futures	31/10/2008
4.	Commodity	10/10/2018
5.	Debt Market	13/05/2013

Yours sincerely,

National Stock Exchange of India Limited

*Please note: There is no authorized signature requirement since this is an auto-generated certificate.*



MOD/MC/022/2023

Date: April 13, 2023

**Arihant Capital Markets Ltd.**

Member No. 313

6, Lad Colony, Y.N.Road,

Indore - 452003

Madhya Pradesh

**CERTIFICATE**

Certified that **ARIHANT CAPITAL MARKETS LTD** is a member of the Exchange and has been carrying business under Member No. **313** in the following segments. The details are as under:

Segment	Type of Members	Registration No.	Registration Date	Status
BSE Star Mutual Fund	MFI	EXCHANGE REGD.	06/01/2010	Active
Cash	Trading Member	INZ000180939	08/01/2002	Active
Commodity Segment	Trading Member	INZ000180939	08/01/2002	Active
Currency Derivatives Segment	Trading Cum Clearing	INZ000180939	08/01/2002	Active
Derivatives	Self Clearing Member	INZ000180939	08/01/2002	Active
New Debt Segment	Trading Member	INZ000180939	08/01/2002	Inactive
Wholesale Debt Segment	Trading Member	EXCHANGE REGD.	20/09/2019	Active

SAVITA  
RUSHIKES  
H  
DESHMUKH

Digitally signed by: SAVITA  
RUSHIKESH DESHMUKH  
DN: CN = SAVITA  
RUSHIKESH DESHMUKH  
C = IN, O = BSE LIMITED  
OU = OPERATION  
Date: 2023.04.13 16:04:02  
+05'30'

Authorized Signatory  
Membership Operations

Note: This Certificate is issued on member's request. Trading membership status can defer subject to Rules, Bye-Laws & Regulation of the Exchange and SEBI Act. Please note that the above information shall not be construed as making any recommendations in any manner. Trading Member shall not assign, mortgage, pledge, hypothecate or charge its trading rights or any rights or privileges attached there to and no such attempted assignment, mortgage, pledge, hypothecation or charge shall be effective against the Exchange for any purpose.





आयकर विभाग  
INCOME TAX DEPARTMENT



भारत सरकार  
GOVT. OF INDIA

ARIHANT CAPITAL MARKETS LIMITED



25/06/1992

Permanent Account Number

AABCA6832G

16092010





# महानगर टेलीफोन निगम लिमिटेड, मुंबई

## MAHANAGAR TELEPHONE NIGAM LIMITED, MUMBAI

टेलीफोन बिल सह कर चालान / Telephone Bill cum Tax Invoice  
 4 वी मंजिल, महानगर दूरसंचार सदन, वि. एच. मार्ग, दादर (प.) मुंबई 400026, जीएसटीन नं: 27AAACM0828R1Z3  
 4th Floor, Telephone House, V S Marg, Dadar(west), Mumbai 400 028. GSTN No. 27AAACM0828R1Z3

<b>Name:</b> ARIHANT CAPITAL MARKETS LTD. <b>Address:</b> ARIHANT CAPITAL MARKETS LTD. 10,11&12, 1ST FLR, 10,SOLITAIRE CORPORA TE PARK ANDHERI-GHATKPR LINK CHAKALA ANDHERI (E) MUMBAI 400093	<b>Installation Address:</b> 10,11&12, 1ST FLR, 10,SOLITAIRE CORPORA TE PARK ANDHERI- GHATKPR LINK CHAKALA ANDHERI (E) MUMBAI 400093 MAHARASHTRA	<b>बिल की अवधि:</b> <b>Billing Period:</b>	01/01/2024 से / TO 31/01/2024
<b>Customer GSTN/UIN:</b> 27AABCA6832G1ZT		<b>अंतिम तारीख:</b> <b>Due Date:</b>	28/02/2024

IRN: 0a5d6efa46c88344c002e4040f2e955d08115fa858c35aeacc06e960803a41a



20602909432088092875070220240000361

**देय राशि:**  
**Amount Payable:** 361.00

टेलीफोन नं. Telephone No.	ग्राहक खाता क्रमांक CA No.	बिल नंबर Bill No.	बिल की तारीख Bill Date	श्रेणी कोड Category Code	शुल्क योजना Tariff Plan	ग्रुप कोड Group Code	ब्रॉडबैंड शुल्क योजना Broadband Tariff Plan
28389726	2060290943	MLCB2088092875	07/02/2024	NON-OYT GENERAL	MTNL Economy		

प्रारंभिक मीटर रीडिंग Opening Meter Reading	अंतिम मीटर रीडिंग Closing Meter Reading	मीटर कॉल Metered Calls	डेबिट कॉल Debit Calls	क्रेडिट कॉल Credit Calls	मुफ्त कॉल Free Calls	नेट कॉल चार्जेबल Net Calls Chargeable
36431	36441	10	0	0	10	0

Other Debit Details:			वर्तमान शुल्क { विवरण } Current Charge Details			HSN CODE / वस्तु एवं सेवा कोड : 998412	राशि { रुपये } Amount (In Rs.)
Charge Description	From Date	Amount					
<b>Other Credit Details:</b>			मासिक सेवा शुल्क			Monthly Service Charges	310.00
			कॉल शुल्क			Call Charges	0.00
			ब्रॉडबैंड मासिक सेवा शुल्क			Broadband Monthly Service Charges	0
			ब्रॉडबैंड उपयोग शुल्क			Broadband Usage Charges	0
			मासिक सी पी ई सेवा शुल्क			Monthly CPE Service Charges	0
			अन्य टैक्सेबल डेबिट			Other Taxable Debits	0
			अन्य टैक्सेबल क्रेडिट			Other Taxable Credits	0
<b>Outstanding Bills:</b>			कुल कर योग्य मूल्य			Total Taxable Value	310
Bill No	Bill Date	Amount					
<b>Details of payments received after last bill:</b>			सी जी एस टी @ 9%			CGST @ 9%	27.90
Bill Date	Bill Amount	Due Date	Paid Date	Paid Amount			
			एस जी एस टी @ 9%			SGST @ 9%	27.90
			कुल राशि			Gross Amount	0
			अन्य नॉन टैक्सेबल डेबिट			Other Non Taxable Debit	0.20
			अन्य नॉन टैक्सेबल क्रेडिट			Other Non Taxable Credit	5
			कुल देयक स्वयं अंतिम दिनांक तक			Total Payable By Due Date For This Bill	361.00
Surcharge of Rs. 10.00/- will be charged in the next bill, if paid after due date.							
• E & O.E.							
• Consolidated stamp duty paid by Mundrak order No. CSD/272/2019/6328 Dt. 27/12/2019.							
• Cheque / DD Should be drawn in favour of "MTNL MUMBAI" 2060290943							
• Broadband customers are requested to visit <a href="http://www.mtnlmumbai.in">www.mtnlmumbai.in</a> for revised tariff details.							
• Update your GST Regn. No. if any, eBill Registration and contacts details through <a href="https://selfcare.mtnl.net.in">https://selfcare.mtnl.net.in</a>							



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Tirelessly to help  
YOU WORK  
uninterruptedly

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STAY SAFE

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MTNL Online  
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• New Services  
• Recharge  
• payment  
• Complaints

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[www.mtnlmumbai.in](http://www.mtnlmumbai.in)

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Unlimited thereafter @ 1Mbps  
&  
500 Free Calls



Visit  
[www.mtnlmumbai.in](http://www.mtnlmumbai.in)

### Mahanagar Telephone Nigam Limited



20602909432088092875070220240000361

CA No	Bill No	Due Date	Amount Payable
2060290943	MLCB2088092875	28/02/2024	361.00
Telephone No	Bill Period	Bill Date	
28389726	01/01/2024 TO 31/01/2024	07/02/2024	



DYNAMIC QR



IRN

पंजीकृत कार्यालय : ५ वी मंजिल, महानगर दूरसंचार सदन, ९, सीजीओ कॉम्प्लेक्स, लोधी रोड नई दिल्ली - ११०००३, सी आर एन : L32101DL1986GOIO23501  
 Reg Office: 5th Floor, Mahanagar Doorsanchar Sadan, 9, CGO Complex, Lodhi Road, New Delhi - 110003, CIN: L32101DL1986GOIO23501



Business Entity Name	Relationship	Street Number	Street Name	City/Town	State/Union Territory	Pin Code	Country
Arihant Capital Markets Limited	HOLDING COMPANY	6	Lad Colony, Y N Road	Indore	MADHYAPRADESH	452001	INDIA
ARIHANT FUTURES AND COMMODITIES LTD.	SUBSIDIARY COMPANY	E/5	Ratlam Kothi	Indore	MADHYAPRADESH	452001	INDIA
ARIHANT FINANCIAL SERVICES LTD.	SUBSIDIARY COMPANY	E/5	Ratlam Kothi	Indore	MADHYAPRADESH	452001	INDIA
ARIHANT INSURANCE BROKING SERVICES LTD.	SUBSIDIARY COMPANY	E/5	Ratlam Kothi	Indore	MADHYAPRADESH	452001	INDIA
ARIHANT CAPITAL (IFSC) LIMITED	SUBSIDIARY COMPANY	Signature Building, Unit No. 424, Fourth Floor, Block 13B.	Zone-1, GIFT SEZ GIFT CITY Zone-1, GIFT SEZ GIFT CITY	GANDHINAGAR	GUJARAT	400052	INDIA
Electrum Capital Private Limited	Associates Company	A-211, Crystal Plaza,	New link Road, Andheri West	MUMBAI CITY	MAHARASHTRA	400093	INDIA

*Handwritten signature*



**Arihant Capital Markets Ltd. ( Ctg.No. 313 )**

6, Lad Colony, Y.N.Road,  
Indore - 452003  
Madhya Pradesh

Dear Sir/Madam,

**Subject: Status Report**

This is with reference to your application dated 15/02/2024 for issuance of status report by the Exchange. Status as on date of the trading member is as follows:

Particulars	Status		
	Segment/s	SEBI Registration No.	Date of Enablement
Segment and registration details	Cash	INZ000180939	21/03/2001
	Equity Derivatives	INZ000180939	TM N. A
			TCM N. A
			SCM 20/04/2005
	Commodity Derivatives	INZ000180939	TM 29/09/2018
			TCM N. A
			SCM N. A
	Currency Derivatives	INZ000180939	TM N. A
			TCM 28/05/2018
			CM N. A
New Debt		Admission Date: N. A	
Pending dues	Valan Account: Nil Amount: Nil		
Investor grievance pending cases	Number: Nil Claim amount: Nil		
Arbitration pending cases	Number: Nil Claim amount: Nil		
Arbitration award - pending compliance	Number: Nil Award amount: Nil		
Action taken by Disciplinary Action Committee (in last one year)	Nil		
Disciplinary action initiated by the Exchange (in last one year)	Nil		
Any pending legal matters	Nil		

This status report is issued as per your request for the purpose of Empanelment with Mutual Funds and Institutions.

Yours faithfully  
For BSE Limited

SAVITA Digitally signed by SAVITA  
RUSHIKESH DESHMUKH  
DN: CN = SAVITA  
RUSHIKESH DESHMUKH  
C = IN, O = Personal  
DESHMUKH Date: 2024.02.16 17:20:16  
+05'30'

Authorised Signatory  
Membership Operations

*Disclaimer: Please note that the above information is as per Exchange records and should not be construed as making any recommendations in any manner.*



MOD/STRP/24407-2023

Arihant Capital Markets Ltd. ( Ctg.No. 313 )  
 6, Lad Colony, Y.N. Road,  
 Indore - 452003  
 Madhya Pradesh

Dear Sir/Madam,

**Subject: Status Report**

This is with reference to your application dated 06/02/2023 for issuance of status report by the Exchange. Status as on date of the trading member is as follows:

Particulars	Status		
	Segment/s	SEBI Registration No.	Date of Enablement
Segment and registration details	Cash	INZ000180939	21/03/2001
	Equity Derivatives	INZ000180939	TM N. A
			TCM N. A
			SCM 20/04/2005
	Commodity Derivatives	INZ000180939	TM 29/09/2018
			TCM N. A
			SCM N. A
	Currency Derivatives	INZ000180939	TM N. A
			TCM 28/05/2018
			CM N. A
New Debt		Admission Date: N. A	
Pending dues	Valan Account: Nil Amount: Nil		
Investor grievance pending cases	Number: Nil Claim amount: Nil		
Arbitration pending cases	Number: Nil Claim amount: Nil		
Arbitration award - pending compliance	Number: Nil Award amount: Nil		
Action taken by Disciplinary Action Committee (in last one year)	Nil		
Disciplinary action initiated by the Exchange (in last one year)	Nil		
Any pending legal matters	Nil		

This status report is issued as per your request for the purpose of Empanelment with Mutual Funds and Institutions.

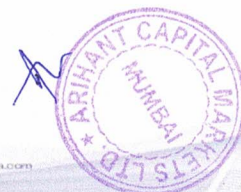
Yours faithfully  
 For BSE Limited

SAVITA  
 RUSHIKES  
 H  
 DESHMUKH

Digitally signed by SAVITA  
 RUSHIKESH DESHMUKH  
 DN: cn = SAVITA,  
 RUSHIKESH DESHMUKH  
 c = IN, o = BSE LIMITED,  
 ou = OPERATION,  
 Date: 2023.02.08 10:02:34  
 +05'30'

Authorised Signatory  
 Membership Operations

*Disclaimer: Please note that the above information is as per Exchange records and should not be construed as making any recommendations in any manner.*



**Arihant Capital Markets Ltd. ( Clg.No. 313 )**

6, Lad Colony, Y.N.Road,  
Indore -452003  
Madhya Pradesh

Dear Sir/Madam,

**Subject: Status Report**

This is with reference to your application dated 31/03/2022 for issuance of status report by the Exchange. Status as on date of the trading member is as follows:

Particulars	Status		
	Segment/s	SEBI Registration No.	Date of Enablement
Segment and registration details	Cash	INZ000180939	21/03/2001
	Equity Derivatives	INZ000180939	TM N. A
			TCM N. A
			SCM 20/04/2005
	Commodity Derivatives	INZ000180939	TM 29/09/2018
			TCM N. A
			SCM N. A
	Currency Derivatives	INZ000180939	TM N. A
			TCM 28/05/2018
			CM N. A
New Debt		Admission Date: N. A	
Pending dues #	Valan Account: Nil Amount: Rs. Nil		
Investor grievance pending cases #	Number: Nil Claim amount: Nil		
Arbitration pending cases #	Number: Nil Claim amount: Nil		
Arbitration award - pending compliance #	Number: Nil Award amount: Nil		
Action taken by Disciplinary Action Committee (in last one year) #	Nil		
Disciplinary action initiated by the Exchange (in last one year) #	Nil		
Any pending legal matters	Nil		

This status report is issued as per your request for the purpose of Empanelment with Mutual Funds and Institutions.

Yours faithfully  
For BSE Limited

SAVITA Digitally signed by SAVITA  
RUSHIKES RUSHIKESH DESHMUKH  
H DN: CN = SAVITA  
DESHMUKH RUSHIKESH DESHMUKH  
C = IN, O = BSE LIMITED  
OU = OPERATION  
Date: 2022.04.01 21:14:24  
+0530'

Authorised Signatory  
Membership Operations

*Disclaimer: Please note that the above information is as per Exchange records and should not be construed as making any recommendations in any manner.*

NSE/COMP/881/2024-2/16908

February 14, 2024

**TO WHOMSOEVER IT MAY CONCERN**

Dear Sir/Madam,

Subject : Status report in respect of ARIHANT CAPITAL MARKETS LIMITED

With reference to your application regarding Empanelment with Banks and Other Financial Institutions, we would like to inform that your status as per the Exchange records as on 13-FEB-2024 is as follows:

Particulars	Details	
Segment	SEBI Registration No.	Date of Enablement
Capital Market	INZ000180939	30-SEP-1995
Futures and Options	INZ000180939	06-JUL-2001
Debt	INZ000180939	13-MAY-2013
Currency Derivatives	INZ000180939	31-OCT-2008
Dues pending (Dues that are more than Rs. 1,00,000 in value and overdue for more than one month)	NSE : Rs. NIL NSCCL : Rs. NIL	
Investor Grievance cases pending (number and value) #	Number: NIL Rs. NIL	
Arbitration in progress (number and value) *	Number: NIL Rs. NIL	
Arbitration awards in favour of investors pending implementation /challenged in court *	Number: NIL Rs. NIL	
Disciplinary Action taken by the Relevant Authority of the Exchange (in last one year) other than reprimand/advise/warning/Monetary Penalty **	Refer note	
Whether suspended during the last 3 years	NO	

Yours faithfully,

For National Stock Exchange of India Limited

Authorized Signatory

*Disclaimer: Please note that the above information is given based on the records available with the Exchange and shall not be construed as making any recommendation in any manner.*

*\* Value exceeding Rs. 2, 00,000 are only covered.*

*\*\* Monetary penalties are displayed on Exchange website ([https://www.nseindia.com/Regulations/Member/Action Against Member](https://www.nseindia.com/Regulations/Member/Action%20Against%20Member))*

*# Unresolved complaints exceeding 60 days and total amount more than Rs.10 lacs or unresolved complaints exceeding 60 days and more than 10 complaints or unresolved against the trading member for more than 3 months.*



Exchange Plaza, Bandra Kurla Complex, Bandra(E), Mumbai 400051, India. Tel: +91 22 26598100 / 66418100 Fax: +91 22 26598120 Web site: www.nseindia.com

This document is signed using Digital Signature For  
National Stock Exchange of India Limited



Signer: GENNES DSOUZA  
Date: Wed, Feb 14, 2024 13:54:27 IST

NSE/COMP/881/2023-2/15029

February 07, 2023

**TO WHOMSOEVER IT MAY CONCERN**

Dear Sir/Madam,

Subject : Status report in respect of ARIHANT CAPITAL MARKETS LIMITED

With reference to your application regarding Empanelment with Banks and Other Financial Institutions, we would like to inform that your status as per the Exchange records as on 03-FEB-2023 is as follows:

Particulars	Details	
Segment	SEBI Registration No.	Date of Enablement
Capital Market	INZ000180939	30-SEP-1995
Futures and Options	INZ000180939	06-JUL-2001
Debt	INZ000180939	13-MAY-2013
Currency Derivatives	INZ000180939	31-OCT-2008
Dues pending (Dues that are more than Rs. 1,00,000 in value and overdue for more than one month)	NSE : Rs. NIL NSCCL : Rs. NIL	
Investor Grievance cases pending (number and value) #	Number: NIL Rs. NIL	
Arbitration in progress (number and value) *	Number: NIL Rs. NIL	
Arbitration awards in favour of investors pending implementation /challenged in court *	Number: NIL Rs. NIL	
Disciplinary Action taken by the Relevant Authority of the Exchange (in last one year) other than reprimand/advise/warning *	Penalty/Fine: Rs. NIL	
Whether suspended during the last 3 years	NO	

Yours faithfully,

For National Stock Exchange of India Limited

Authorized Signatory

*Disclaimer: Please note that the above information is given based on the records available with the Exchange and shall not be construed as making any recommendation in any manner.*

*\* Value exceeding Rs. 2, 00,000 are only covered.*

*# Unresolved complaints exceeding 60 days and total amount more than Rs.10 lacs or unresolved complaints exceeding 60 days and more than 10 complaints or unresolved against the trading member for more than 3 months.*



Exchange Plaza, Bandra Kurla Complex, Bandra(E), Mumbai 400051,India. Tel: +91 22 26598100 / 66418100 Fax: +91 22 26598120 Web site: www.nseindia.com

This document is signed using Digital Signature For  
National Stock Exchange of India Limited



Signer: MANASI SUNIL SAWANT  
Date: Tue, Feb 7, 2023 16:09:26 IST

NSE/COMP/881/2022-3/13516

April 01, 2022

**TO WHOMSOEVER IT MAY CONCERN**

Dear Sir/Madam,

Subject : Status report in respect of ARIHANT CAPITAL MARKETS LIMITED

With reference to your application regarding Empanelment with Banks and Other Financial Institutions, we would like to inform that your status as per the Exchange records as on 30-MAR-2022 is as follows:

Particulars	Details	
Segment	SEBI Registration No.	Date of Enablement
Capital Market	INZ000180939	30-SEP-1995
Futures and Options	INZ000180939	06-JUL-2001
Debt	INZ000180939	13-MAY-2013
Currency Derivatives	INZ000180939	31-OCT-2008
Dues pending (Dues that are more than Rs. 1,00,000 in value and overdue for more than one month)	NSE : Rs. NIL NSCCL : Rs. NIL	
Investor Grievance cases pending (number and value) #	Number: NIL Rs. NIL	
Arbitration in progress (number and value) *	Number: NIL Rs. NIL	
Arbitration awards in favour of investors pending implementation /challenged in court *	Number: NIL Rs. NIL	
Disciplinary Action taken by the Relevant Authority of the Exchange (in last one year) other than reprimand/advise/warning *	Penalty/Fine: Rs. NIL	
Whether suspended during the last 3 years	NO	

Yours faithfully,

For National Stock Exchange of India Limited

Authorized Signatory

*Disclaimer: Please note that the above information is given based on the records available with the Exchange and shall not be construed as making any recommendation in any manner.*

*\* Value exceeding Rs. 2, 00,000 are only covered.*

*# Unresolved complaints exceeding 60 days and total amount more than Rs.10 lacs or unresolved complaints exceeding 60 days and more than 10 complaints or unresolved against the trading member for more than 3 months.*





**Details of Penalty levied by NSE. for the period 01.04.2020 to 31.10.2021**

Sr. No.	Particulars	Penalty Rs.	Status
1	SEBI Joint inspection	650,000	Paid
	<b>Total</b>	<b>650,000</b>	

Note: For SEBI joint inspection for Rs.6, 50,000/- we have filed an appeal with SAT

Please note that the above case pertain to retail clients.

For Arihant Capital Markets Ltd.

  
Authorized Signatory



**ARIHANT CAPITAL MARKETS LTD.**

Member of NSE, BSE, NSDL, CDSL

Reg. Office: 6 Lad Colony, Y. N. Road, Indore-452001 T: +91-731-4217100 F: +91-731-4217199

Corp. Office: 1011, Solitaire Corporate Park, Building No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800 F: +91-22-42254880

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com



**CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE (04/2023-24) MEETING OF THE BOARD OF DIRECTORS OF ARIHANT CAPITAL MARKETS LIMITED HELD ON THURSDAY, 09<sup>TH</sup> NOVEMBER, 2023, AT 11:30 A.M. AT 6, LAD COLONY, Y N ROAD, INDORE-452001**

**“RESOLVED THAT** the Company is hereby authorized to get empaneled with various institutional clients for providing them services into Capital Markets.

**RESOLVED FURTHER THAT** Mrs. Anita Surendra Gandhi, Head Institution and/or Mr. Sachin Sambare, Manager Institutional – Equity Sales be and are hereby singly authorized to sign and execute necessary documents to get empaneled with institutional clients and provide them services.

**RESOLVED FURTHER THAT** a copy of the above resolution duly certified as true by Mahesh Pancholi (Company Secretary) of the company, be furnished to such other parties as may be required from time to time in connection with the above matter.”

Name of the Authorised Signatory	Designation	Mode of Operation	Specimen Signatures	Photo
Mrs. Anita Surendra Gandhi	Head Institution	Singly		
Mr. Sachin Sambare	Manager Institutional – Equity Sales	Singly		

//CERTIFIED TRUE COPY//

For Arihant Capital Markets Limited

  
Mahesh Pancholi  
(Company Secretary)  
M. No. F-7143



**ARIHANT CAPITAL MARKETS LTD.**

Member of NSE, BSE, NSDL, CDSL

Reg. Office: 6 Lad Colony, Y. N. Road, Indore-452003 T: +91-731-4217100 F: +91-731-4217199

Corp. Office: 1011, Solitaire Corporate Park, Building No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093  
T: +91-22-67114800, +91-22-42254800 F: +91-22-42254880

CIN: L67120MP1992PLC007182

W: www.arihantcapital.com E: contactus@arihantcapital.com

Date: - 21<sup>st</sup> February 2024

## Declaration

- a. List of employed staff with professional qualification, disclosure of conflicts of interest, if any, with bank dealers. **Attached**
- b. Declaration regarding adherence to code of conduct of regulators/agencies (e.g., SEBI, etc. as applicable). **YES, We Dully Follow Compliances**
- c. Disclosure regarding any disciplinary action taken by SEBI/FEDAI/FIMMDA or related Exchange during the past 3 years. **YES (ANNEXURE ATTACHED)**
- d. Whether broking firm has adequate expertise in the relevant business and has professional staff. **YES**

For, Arihant Capital Markets Ltd



Authorised Signatory



**ARIHANT CAPITAL MARKETS LTD.**

Member of NSE, BSE, NSDL, CDSL

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Corp. Office: 1011, Solitaire Corporate Park, Building No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800 F: +91-22-42254880

CIN: L67120MP1992PLC007182

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प्रारूप आई० नॉ०

Form 1 B.

### निगमन का प्रमाण-पत्र

## Certificate of Incorporation

नॉ० ..... का नॉ० .....  
No. 10-07182 of 19 92

मैं एतद् द्वारा प्रमाणित करता हूँ कि अरिहन्त कैपिटल मार्केट्स लिमिटेड

कम्पनी अधिनियम, 1956 (1956 1) के अधीन निगमित की गई है और कम्पनी  
परिमित है।

I hereby certify that **ARIHANT CAPITAL MARKETS LIMITED**

is this day incorporation under the Companies Act, 1956 (No 1 of 1956)  
and that the Company is limited by shares.

मेरे हस्ताक्षर से आज तारीख चार अक्टूबर एक उन्नीस सौ चौदह  
को दिया गया।

Given under my hand at **OWALIOR** this **TWENTYFIFTH**  
day of **JUNE** One thousand Nine hundred and **NINETY TWO**

*S. B. Luthra*  
( S. B. LUTHRA )  
कम्पनी का रजिस्ट्रार  
Mumbai  
Registrar of Companies  
Mumbai, Maharashtra State





कम्पनी नं. 10-07182

सत्यमेव जयते

कारबार प्रारम्भ करने के लिए प्रमाण-पत्र

Certificate for Commencement of Business

कम्पनी अधिनियम, 1956 की धारा 149 (3) के अनुसरण में

Pursuant of Section 149. (3) of the Companies Act, 1956

मैं एतद्वारा प्रमाणित करता हूँ कि औरहन्त कोपिटल मार्केट्स

प्रा. लि. लिमिटेड

25.06.92

जो कम्पनी अधिनियम 1956 के अधीन तारीख 25.06.92 को नियमित की गई थी और जिने आज विहित प्रकार में सम्पूर्ण रूप से सत्यापित घोषणा फाइल कर दी है कि उक्त अधिनियम की धारा 149 (2) (क) से लेकर (ग) तक की शर्तों का अनुपालन किया गया है, कारबार प्रारम्भ करने की हकदार है।

I hereby certify that the

which was incorporated under the Companies Act, 1956, on the ... day of ... 19... and which has this day filed a duly verified declaration in this prescribed form that the conditions of section 149 (1) (a) to (d)/149 (2) (a) to c) of the said Act, have been complied with is entitled to commence business.

30.10.92

मेरे हस्ताक्षर से यह तारीख 30.10.92 को प्रमाणित किया गया है।

बो. एन. हरीश

Given under my hand at

this ... day of ... hundred.

One thousand nine

बो. एन. हरीश

बो. एन. हरीश  
कम्पनियों का रजिस्ट्रार  
कम्पनी रजिस्ट्रार  
Registrar of Companies  
मध्य प्रदेश इलाहाबाद.



Institutional Team Detail					
ARIHANT CAPITAL MARKETS LTD					
Name of Entity	Name	Email	Number Land line	Mobile	Qualification
Founder & Head of Institution	Anita Gandhi	<a href="mailto:anita.gandhi@arihantcapital.com">anita.gandhi@arihantcapital.com</a>	022-42254802	9892336365	B. Com, A.C.A, Grad CMA, NCFM (Dealer Module)
Institutional Sales Traders	Sachin Sambare	<a href="mailto:sachin.sambare@arihantcapital.com">sachin.sambare@arihantcapital.com</a>	022-42254848/50/51/52/53	9892460473	B. Com, NCFM (Dealer & Derivative Module)
	Chandrashekhar Kabadi	<a href="mailto:chandrashekhar.kabadi@arihantcapital.com">chandrashekhar.kabadi@arihantcapital.com</a>	022-42254848/50/51/52/53		B. Com, NISM (Dealer Currency & Derivative Module)
Institutional Dealer	Chintan Thakkar	<a href="mailto:arihantmkt@bloomberg.net">arihantmkt@bloomberg.net</a>	022-42254848/50/51/52/53		B.Com
	Ketan Parmar	<a href="mailto:arihantmkt@bloomberg.net">arihantmkt@bloomberg.net</a>	022-42254848/50/51/52/53		B.Com
Back Office / Operations / Billing / Documents	Empanelment Purpose Email Id	<a href="mailto:empanelment@arihantcapital.com">empanelment@arihantcapital.com</a>			
	Backoffice Common Email Id	<a href="mailto:instdealing@arihantcapital.com">instdealing@arihantcapital.com</a> ; <a href="mailto:instbackoffice@arihantcapital.com">instbackoffice@arihantcapital.com</a>			
	Rucchi Ganeriwala	<a href="mailto:rucchi.modi@arihantcapital.com">rucchi.modi@arihantcapital.com</a>	022-42254861	9833445854	B.Com
	Vinod Shirke	<a href="mailto:vinod.shirke@arihantcapital.com">vinod.shirke@arihantcapital.com</a>	022-42254854	9833652183	B.Com
	Samrudhi Kamble	<a href="mailto:samrudhi.kamble@arihantcapital.com">samrudhi.kamble@arihantcapital.com</a>	022-42254855	8879125056	BFM

Note: For empanelment regarding details and queries request to please use empanelment email id only.

Research Team Details				
Name	Sectors	(Common Email ID for all)	Contact No.	Qualification
<b>Fundamental</b>				
Abhishek Jain (Head of Research)	Strategy, Capital Goods, Midcaps, Smallcaps	<a href="mailto:instresearch@arihantcapital.com">instresearch@arihantcapital.com</a>	022-42254872	Finance, NISM Research Module
Jyoti Singh	IT/Auto and Auto Anc		022-42254834	PGDM, NISM Research Module
Bala Subramanian	Industrials/Infra, Consumer Durables		022-42254870	PGDM (Securities Market)
Anushka Chitnis	Discretionary Spending & FMCG, Textiles		022-42254870	BSc-Finance, CFA Level-1
Miraj Shah	Building Materials, Transportation & Logistics,		022-42254865	BFM, Mcom
Harshit Jain	BFSI & NBFC		022-42254865	Bcom+ CA
Anmol Das	BFSI, Chemicals & Pharmaceuticals		022-42254834	BTech, MBA Finance,
<b>Technical</b>				
Mileen Vasudeo	Technical Analysis	<a href="mailto:instresearch@arihantcapital.com">instresearch@arihantcapital.com</a>	022-42254864	B.Sc. in Mathematics
Ratnesh Goyal	Technical & Derivative		0731-4217002	MBA In Finance, M.A in Economics, NISM
Ajay Khichi	Commodity & Currency		0731-4217191	MBA in Finance





## List of Key Personnel:

Name	Qualification	Experience	Date of Appointment	Designation/Dept
Ashok Kumar Jain	F.C.A	35 years	25/06/1992	Chairman & Managing Director
Arpit Jain	C.A	13 Years	21/01/2021	Joint Managing Director
Anita Gandhi	C.A, ICMA	34 Years	02/06/2002	Founder & Head of Institution
Mahesh Pancholi	CS	15 Years	23/05/2009	Company Secretary
Uttam Maheshwari	CA	10 Years	01/12/2023	Chief Financial Officer
Sundar Rangan	C.S., PG in Commerce and Law.	32 Years	01/02/2007	Head-Merchant Banking
Praneet Maheshwari	C.A.	16 years	01/10/2011	Chief Operating Officer
Sudeep Jain	MBA	10 Years	01/11/2023	Compliance Officer stock broking

### For Arihant Capital Markets Limited

MAHESH PANCHOLI  
Digitally signed by MAHESH PANCHOLI  
Date: 2023.12.29 11:17:29 +05'30'

### Company Secretary

Indore 29<sup>th</sup> December, 2023

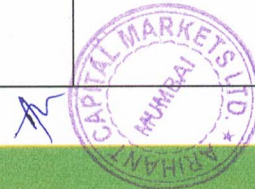


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**LIST OF DIRECTORS**

Name	Qualification	Age	Address	Experience	Designation	Contact No.
<b>Ashok Kumar Jain</b>	F.C.A.	68 years	11/3, Old Palasia, Indore -452001	34 years	Chairman & Managing Director	022-42254800
<b>Mr. Arpit Jain</b>	C.A	31 years	11/3, Old Palasia, Indore -452001	10 Years	Joint Managing Director	0731-4217100
<b>Sunil Kumar Jain</b>	M. Com	54 years	110, Kanchan Bagh, Indore- 452001	20 years	Non-executive Director	0731-4217100
<b>Akhilesh Rathi</b>	C.A.	56 years	Schemes No 74-C, 408-A/AD, Vijay Nagar, Indore.	20 Years	Non-executive Independent Director	0731-4040555
<b>Parag Rameshbhai Shah</b>	B.E. Mechanical	53 years	2, Sangemermer Complex, Opp. Supreme Tower, Ambawadi, Ahmedabad-380015.	19 Years	Non-executive Independent Director	079-40701700
<b>Ashish Maheshwari</b>	MBA in finance	48 years	201, Green Park A, Raheja Estate, kulupwadi, Near National Park, Boriwali (E), Mumbai, 400066	16 years	Non-executive Independent Director	022-42254800
<b>Jitendra Jain</b>	CFA	51 years	202, Dheeraj Vaibhav, Mahakali Caves Road, Andheri East Mumbai -400093	05 Years	Non-executive Independent Director	0731-4217100
<b>Swanubhuti Jain</b>	PG Diploma	43 years	A-2508, Oberoi Splendor, Jogeshwari Vikroli Link Road, Opposite Majas Bus Depot, Jogeshwari East MUMBAI Maharashtra 400060	20 years	Non-executive Independent Director	022-42254800



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